

# Focus Stock Brokers Ltd

Registered Address: 1C/13 New Rohtak Road, New Delhi 110005 Email : info@focusstockbroker.com

Date:

SEBI Reg. No. – INZ000288836 (BSE Cash \Equity)

**Sub: -Authorization for dealing/trading in Cash Segment of BSE**

I hereby declare that I wish to deal/trade in the Cash \ Equity Segment of BSE and furnish the following details

PAN of the Client : \_\_\_\_\_

UCC allotted : \_\_\_\_\_

Full Name of the client: \_\_\_\_\_  
(First name) (Middle name) (Last name)

Address: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Email Id : \_\_\_\_\_  
(Mandatory in case of ECN)

Details of Income/Networth \_\_\_\_\_  
(Networth should not be older than 1 year)

Proof given : \_\_\_\_\_  
\_\_\_\_\_

I hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I undertake to inform you of any changes therein immediately.

I understand that the Member-Client Agreement executed/KYC is construed as a Agreement/KYC for the .....Segment also.

Signature of the client

## **Addition for Trading Preference Request Form**

To,

Date: \_\_\_/\_\_\_/\_\_\_

Focus Stock Brokers Ltd  
1C/13 New Rohtak Road,  
New Delhi 110005.

Dear Sir / Madam,

### **Sub: Addition of Trading Preference**

Ref: Unique Client Code (UCC): \_\_\_\_\_

1. I \_\_\_\_\_ registered with you as a client and wish to Modify / add Trading Preference mentioned by me/us in the KYC forms submitted to you at the time of my enrolment or at any time thereafter.
2. This letter is in addition to the authorization/preference given earlier by me/us to you modifying my trading preferences.

<b>TRADING PREFERENCES</b>		
<b>Exchange</b>	<b>Segment</b>	<b>Signature</b>
National Stock Exchange of India Limited	NSE CASH	
National Stock Exchange of India Limited	NSE F&O	
National Stock Exchange of India Limited	NSE CURRENCY	
National Stock Exchange of India Limited	NSE COMMODITY	
BSE Ltd.	BSE CASH	
BSE Ltd.	BSE F&O	
BSE Ltd.	BSE COMMODITY	

3. I/We understand that the brokerage charged to me in the new segment/ new Exchange respective segment is specified below:

<b>TARIFF SHEET</b>			
<b>Equity Segment</b>			
<b>Brokerage Slab</b>	<b>Slab In %</b>	<b>Minimum per Share</b>	<b>Single Side/ Both Side</b>
Delivery Based			
Square off			
<b>F &amp; O SEGMENT</b>			
Future			
Option			
<b>CURRENCY DERIVATIVE SEGMENT</b>			
<b>Brokerage Slab</b>	<b>Slab In %</b>	<b>Minimum Brokerage Per Lot</b>	
<b>COMMODITY SEGMENT</b>			
<b>Brokerage Slab</b>	<b>Slab In %</b>	<b>Minimum Per Lot</b>	

<b>OTHER CHARGES</b>						
<b>Particular</b>	<b>Capital Market Segment</b>		<b>Derivative Segment</b>		<b>Commodity Segment</b>	
	<b>Delivery</b>	<b>Jobbing</b>	<b>Futures</b>	<b>Option</b>	<b>Futures</b>	<b>Option</b>
Stamp duty & Other Charges						
Transaction Charges	Will be charged as per current applicable rate including any revisions in concern segments with respective exchanges.					
Service Tax	As per the provision of Central Goods & Service Tax Act, 2017 and as amended from time to time					
STT	As per the provisions of STT Act and as amended from time to time					
Other Taxes	As may be applicable from time to time.					

\_\_\_\_\_  
(Name of Client)

\_\_\_\_\_  
(Signature of Client)

**NOTE:**

1. Charges/ service standards are subject to revision at sole discretion of Focus Stock Brokers Ltd.
2. Charges quoted above are for the services listed. Any service not quoted above will be charged separately.
3. Transaction & clearing charges, Stamp duty, GST, SEBI Fee, STT, and all legal levies as may be applicable from time to time shall be charged separately in addition to the brokerage.
4. In case Diet ID has been provided to the client an ID charge @ \_\_\_\_\_% of turnover or Rs. \_\_\_\_\_ / - per month per segment shall be charged extra.
5. Penalty for delay in payment of settlement / margin obligation shall be charged 18% p.a. on daily outstanding balance till settled in full. Further a penalty shall be levied at the rate 18% p.a. computed on daily basis on the excessive intraday trading exposure.
6. Minimum processing fees of Rs. 20/ - will be charged for each day of trade.

I/We, \_\_\_\_\_ have read the above given Information and agree to pay the charges / levies mentioned above.

\_\_\_\_\_  
**(Name of Client)**

\_\_\_\_\_  
**(Signature of Client)**

**FOR OFFICE USE ONLY**

Checked by: \_\_\_\_\_ Dated: \_\_\_\_\_

Checked by: \_\_\_\_\_ Dated: \_\_\_\_\_

<b><u>OFFICE USE ONLY</u></b>		
UCI	Yes / No	
CAPex	Yes / No	